

# ProFunds Account Application

## For Individual and Joint Accounts

This form is for Individual and Joint Accounts Only. Applications are available at [www.profunds.com](http://www.profunds.com). For assistance, please call 1-888-776-3637. Financial Professionals, please call 1-888-776-5717.

### 1. Registration

#### IMPORTANT INFORMATION ABOUT OPENING A NEW ACCOUNT:

Federal law mandates that all financial institutions obtain, verify and record information identifying each person who opens a new account.

Please verify the following information is accurate: name, Social Security number, date of birth, and physical address.

If you fail to provide the requested information and/ or if any of the information cannot be confirmed, ProFunds may not be able to open your account and reserves the right to redeem your account.

Fill out the appropriate section per the type of account you are opening. All information in a section is required, unless otherwise noted.

**Individual OR Joint Account** (Rights of Survivorship assumed unless noted.)

#### Primary Owner Information

Title: (optional)  Mr.  Mrs.  Ms.  Dr.  Other \_\_\_\_\_

Primary Owner Name (first/initial/last)

Social Security Number

Date of Birth  
(mm/dd/yyyy)

#### Joint Owner Information

Title: (optional)  Mr.  Mrs.  Ms.  Dr.  Other \_\_\_\_\_

Joint Owner Name (first/initial/last)

Social Security Number

Date of Birth  
(mm/dd/yyyy)

**Uniform Gift/Transfer to Minor Account**

#### Custodian Information

Custodian Name (only one custodian is permitted)

Social Security Number

Date of Birth  
(mm/dd/yyyy)

State of Residence

#### Minor's Information

Minor's Name (first/initial/last)

Social Security Number

Date of Birth  
(mm/dd/yyyy)

State of Residence

(The gift or transfer will be governed by  
the laws of the minor's state of residence)

## 2. Mail and Contact Information

If mailing address is a post office box, a street address is also required by the USA Patriot Act.

For mailing outside the U.S., please provide Country of Residence, Province, Foreign Routing/Postal Code.

Through **PaperFree™**, you can receive account statements, transaction confirmations, ProFunds prospectuses and financial reports online—while removing the clutter from your mailbox!

You will automatically receive an email with a hyperlink to your documents when they are available online.

Primary Owner Street Address	City	State	Zip Code
Joint Owner Street Address (if different from above)	City	State	Zip Code
Mailing Address (if different from above)	City/State/Province/Region	Country/Zip/Postal Code	
Daytime Phone (Area Code + Number)	Evening Phone (Area Code + Number)		

Enter your email below and we will send you instructions on how to activate your **PaperFree™** service.

Email Address

## 3. Citizenship

**U.S. Resident Aliens:** A U.S. Tax ID number and domestic physical address must be submitted.

**Non-Resident Aliens:** Submit an IRS Form W-8, a taxpayer identification number and the following is required: (1) passport number and country of issuance, or (2) alien identification card number and country of issuance, or (3) number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard and a copy of the document.

Distributions are subject to tax withholding.

### Primary Owner, Custodian

U.S. Citizen     U.S. Resident Alien     Non-Resident Alien

Country of Tax Residency    Government ID Number    ID Type

### Joint Owner, Minor

U.S. Citizen     U.S. Resident Alien     Non-Resident Alien

Country of Tax Residency    Government ID Number    ID Type

## 4. Default Cost Basis Election

\*Please consult a qualified tax adviser to determine the method most suitable to your situation. If no selection is made, the default method of **Average Cost** will be used.

**Note:** Specific Lot Depletion Method may also be used as a cost basis method. This method allows you to choose which tax lots are sold. Specific Lot Depletion Method cannot be selected as a default method. A shareholder that wishes to select specific shares to be sold must contact Shareholder Services no later than the settlement date of the redemption or exchange.

### Cost Basis Selection\*:

- Average Cost**
- FIFO** – First in, First Out
- LIFO** – Last in, First Out
- HIFO** – Highest Cost, First Out
- LOFO** – Lowest Cost, First Out
- High Cost Long-Term** – Sells Long-Term Shares first, then Short-Term
- High Cost Short-Term** – Sells Short-Term Shares first, then Long-Term
- Low Cost Long-Term** – Sells Long-Term Shares first, then Short-Term
- Low Cost Short-Term** – Sells Short-Term Shares first, then Long-Term

The election above will be applied to all funds within this account. If you would like to assign a different method to funds within the same account, please complete a Cost Basis Election Form.

## 5. Investment Selection

Refer to the Prospectus for specific information on share classes.

\* An Appointment of Financial Professional form must be included to list a Financial Professional.

Please make your initial investment selections. Indicate the dollar amount or percentage of total investment next to the fund name.

If no ProFund is selected, and your investment meets the stated minimums, your investment will be credited to the Government Money Market ProFund.

\*\* All purchases must be made in U.S. dollars and drawn on a U.S. bank. Cash, starter checks, internet-based checks, credit cards, money orders, travelers checks, and credit card checks are not accepted. ACH purchases and third-party checks are generally not accepted to open an account.

Refer to the Shareholder Services Guide section of the ProFunds Prospectus for wire transfer cut off times.

Refer to ProFunds.com for the most current list of funds offered and their description.

Investor Class

- May be used by self-directed investors or accounts listing a Financial Professional\*
- Account Minimum with Financial Professional = \$5,000
- Account Minimum without Financial Professional = \$15,000

## Service Class

- May ONLY be used for accounts listing a Financial Professional\*
- Account Minimum with Financial Professional = \$5,000
- Account Minimum without Financial Professional = N/A

Digital Assets ProFunds ONLY

- Offered in Investor Class only
- Per ProFunds' prospectus, exchanges into other ProFunds may be limited until account value reaches required minimums listed above
- Account minimum = \$1,000

**Fund Name**

## Allocation

or %  
or %  
or %  
or %  
or %

**TOTAL AMOUNT INVESTED \$**

or 100 %

**Required**

Must Equal

By Wire: I will call (888) 776-3637 for wire instructions.

By Check\*\* My check is enclosed, payable to ProFunds.



Scan to view list of funds



## 7. Account Options

The following account options are available as described in the Prospectus.

\*Cash distributions payable by check of ten dollars or less will be automatically reinvested.

### Dividend and Capital Gains

Your Dividends and Capital Gains will be automatically reinvested in the same ProFund from which they were distributed.

- Pay** my dividends and capital gains to me by check\*
- Deposit** my dividends and capital gains via ACH to the bank indicated in Section 6 of this document.
- Pay** my dividends to me by check\* and reinvest my capital gains.

### Telephone Redemption and Exchange Privileges

The telephone redemption and exchange privileges will be added to your account automatically unless you check the box below:

- No**, I do not authorize exchanges between ProFunds or redemptions upon instructions from any person by telephone.

### Automatic Plans

Shareholders may purchase, and/or exchange shares automatically on a monthly, bimonthly, quarterly or annual basis.

### Check Redemption Service

- Yes**, I want to be able to write checks to redeem shares in Government Money Market ProFund as described in the Prospectus. I have enclosed a check writing signature form. A supply of checks will be mailed to the mailing address indicated in Section 2 of this document.

### Automatic Investment Plan

I would like the plan to begin the month of \_\_\_\_\_ 20 \_\_\_\_\_. Please have the amount indicated below withdrawn from my bank account noted in Bank Information, Section 6, of this document and invested in the ProFund(s) listed below.

Fund _____	\$ _____	<input type="checkbox"/> Once per month on the _____ day.
Fund _____	\$ _____	<input type="checkbox"/> Twice per month on the _____ & _____.
Fund _____	\$ _____	<input type="checkbox"/> Quarterly on the _____ (Mar, Jun, Sep, Dec).
Fund _____	\$ _____	<input type="checkbox"/> Annual on the _____ day of _____ (Month).

### Systematic Withdrawal Plan

I would like the plan to begin the month of \_\_\_\_\_ 20 \_\_\_\_\_. Please have the amount indicated below.

- Deposited into my bank account noted in Section 6 of this document.
- Mailed to me by check at the address of record.

Fund _____	\$ _____	<input type="checkbox"/> Once per month on the _____ day.
Fund _____	\$ _____	<input type="checkbox"/> Twice per month on the _____ & _____.
Fund _____	\$ _____	<input type="checkbox"/> Quarterly on the _____ (Mar, Jun, Sep, Dec).
Fund _____	\$ _____	<input type="checkbox"/> Annual on the _____ day of _____ (Month).

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### Automatic Exchange Program

I would like the plan to begin the month of \_\_\_\_\_ 20 \_\_\_\_\_. Please select how often you would like to have the amount shown below withdrawn from your ProFund and invested into the selected ProFund(s).

From Fund \_\_\_\_\_ \$ \_\_\_\_\_  
To Fund \_\_\_\_\_ \$ \_\_\_\_\_

Once per month on the \_\_\_\_\_ day.  
 Twice per month on the \_\_\_\_\_ & \_\_\_\_\_.  
 Quarterly on the \_\_\_\_\_ (Mar, Jun, Sep, Dec).  
 Annual on the \_\_\_\_\_ day of \_\_\_\_\_.  
(Month)

From Fund \_\_\_\_\_ \$ \_\_\_\_\_  
To Fund \_\_\_\_\_ \$ \_\_\_\_\_

Once per month on the \_\_\_\_\_ day.  
 Twice per month on the \_\_\_\_\_ & \_\_\_\_\_.  
 Quarterly on the \_\_\_\_\_ (Mar, Jun, Sep, Dec).  
 Annual on the \_\_\_\_\_ day of \_\_\_\_\_.  
(Month)

From Fund \_\_\_\_\_ \$ \_\_\_\_\_  
To Fund \_\_\_\_\_ \$ \_\_\_\_\_

Once per month on the \_\_\_\_\_ day.  
 Twice per month on the \_\_\_\_\_ & \_\_\_\_\_.  
 Quarterly on the \_\_\_\_\_ (Mar, Jun, Sep, Dec).  
 Annual on the \_\_\_\_\_ day of \_\_\_\_\_.  
(Month)

## 8. Trusted Contact/Designated Representative (Optional)

### (This section is optional to complete.)

Designating a trusted contact is not required and does not authorize the named individual to transact on or make changes to the account.

Designated contact person information may be revoked or amended via telephone call to ProFunds or by providing a written

I authorize ProFunds to contact the person listed below and for the person listed below to disclose information about me in the following circumstances:

- To prevent the presumption of abandonment
- To address possible financial exploitation
- To confirm the specifics of my current contact information, health status, or the identity of any legal guardian, executor, trustee, or holder of a power of attorney or as otherwise permitted by federal or state law.

Full Name of Trusted Contact

Relationship to Account Owner

Mailing Address (Street, City, State, Zip Code)

Email Address

Primary Telephone Number

## 9. Signatures

Please read this section carefully before signing.

- I certify that I have received and read the current prospectus for the ProFunds in which I am investing. I certify that I have authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
- I understand that my account(s) will automatically have exchange privileges with other ProFunds. I agree to read the prospectus for each fund into which exchanges are made. The terms, representations and conditions in this application will apply to any account established at a later date.
- Neither ProFunds, nor any of its agents, will be liable for any loss or expense for acting upon written or telephone instructions reasonably believed to be genuine and in accordance with the procedures described in the Prospectus.
- Any change to the information or authorizations set forth in this application will be made by me to ProFunds in writing. Any such change will be effective at such time as ProFunds has had a reasonable amount of time to act upon it.
- I understand that neither ProFunds nor any of its agents has provided any investment, tax, or legal advice, and I have relied on my independent judgment, or the judgment of the advisor I have selected, with respect to the suitability or potential value of any security or order.
- I understand that the assets in this account may be transferred to the appropriate state if no activity occurs in the account within the time period specified by state law.
- I understand that all telephone calls made to or received by ProFunds may be recorded for security, verification, and quality control purposes.

**Under penalty of perjury, I certify that: (U.S. Persons Only)**

1. The Tax payer Identification Number shown on this application is correct.
2. I am not subject to back up withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding (does not apply to real estate transactions, mortgage interest paid, the acquisition or abandonment of secured property, contributions to an Individual Retirement Account (IRA), and payments other than interest and dividends). **Cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding.**
3. I am a U.S. Person (including a U.S. Resident Alien). **The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**
4. I am exempt from Foreign Account Tax Compliance Act (FATCA) reporting. **FATCA applies to persons submitting this form for accounts maintained outside of the U.S. by certain foreign financial institutions.**

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Signature of Owner, Custodian

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Date (mm/dd/yyyy)

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Print Name and Title (if applicable)

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Signature of Join Owner

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Date (mm/dd/yyyy)

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Print Name and Title (if applicable)

## 10. Notice of ProFunds Privacy Policy

This Privacy Policy applies to the ProFunds family of funds, the Access One Trust family of funds and to ProFund Advisors LLC.

ProFunds, Access One Trust and ProFund Advisors LLC (the "Funds") are committed to respecting the privacy of personal information you entrust to us in the course of doing business.

The Funds collect non-public personal information from various sources. For instance, account applications may include name, address, and social security number. The Funds receive information from transactions in your accounts, including account balances, and from correspondence between you and the Funds or third parties, such as the Fund's service providers. The Funds use such information provided by you or your representative to process transactions, to respond to inquiries from you, to deliver reports, products, and services, and to fulfill legal and regulatory requirements.

The Funds do not disclose any non-public personal information about their customers to anyone unless permitted by law or approved by the customer. The Funds may share information with certain third parties who are not affiliated with the Funds to process or service a transaction at your request or as permitted by law. For example, sharing information with non-affiliated third parties that maintain or service customer accounts for the Funds is essential. Information may also be shared with companies that perform administrative or marketing services for the Funds, including research firms. When the Funds enter into such a relationship, such third parties' use of customers' information is restricted and they are prohibited from sharing it or using it for any purposes other than those for which they were hired. The Funds also require service providers to maintain physical, electronic and procedural safeguards that comply with federal standards to guard non-public personal information of customers of the Funds.

The Funds maintain physical, electronic, and procedural safeguards to protect your personal information. Within the Funds, access to personal information is restricted to those employees who require access to that information in order to provide products or services to our customers such as processing transactions and handling inquiries. The Funds' employment policies restrict the use of customer information and require that it be held in strict confidence.

The Funds will adhere to the policies and practices described in this notice for both current and former customers.

**FOR ASSISTANCE CALL: 1-888-776-3637 • FINANCIAL PROFESSIONALS CALL: 1-888-776-5717**

**MAIL TO: ProFunds, P.O. Box 182800, Columbus, OH 43218-2800**

**OVERNIGHT TO: ProFunds c/o Transfer Agency, 4249 Easton Way, Suite 400 Columbus, OH 43219**

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